

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
4 March 2004 (04.03.2004)

PCT

(10) International Publication Number
WO 2004/019582 A1

- (51) International Patent Classification⁷: H04L 29/06
- (21) International Application Number:
PCT/EP2002/009402
- (22) International Filing Date: 22 August 2002 (22.08.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (71) Applicant (for all designated States except US): DO-COMO COMMUNICATIONS LABORATORIES EUROPE GMBH [DE/DE]; Landsberger Strasse 308-312, 80687 München (DE).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): PREHOFER, Christian [DE/DE]; Wengleinstrasse 7, 81477 München (DE). SOUVILLE, Bertrand [FR/DE]; Landsberger Strasse 427, 81241 München (DE).
- (74) Agents: HOFFMANN EITLE et al.; Arabellastrasse 4, 81925 München (DE).

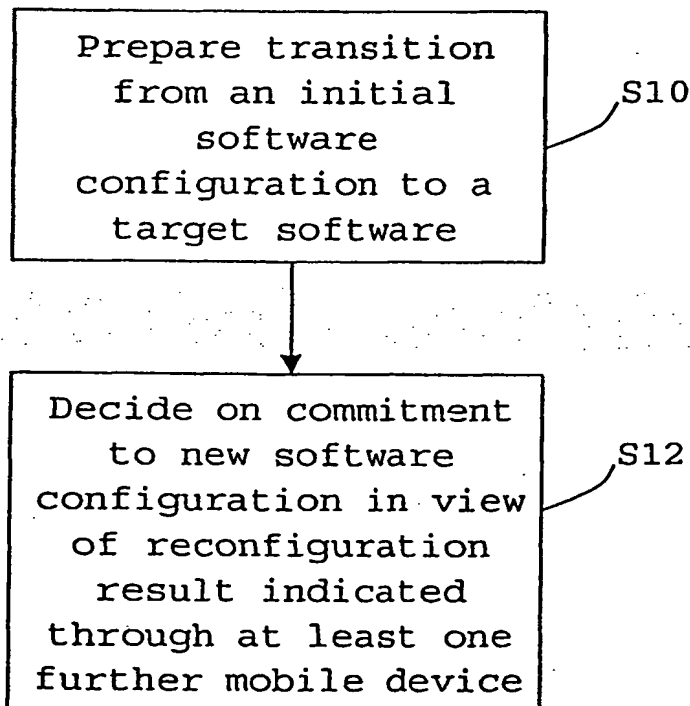
(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:
— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: RECONFIGURATION OF A GROUP OF NETWORK NODES IN AN AD-HOC NETWORK



(57) Abstract: To improve consistency of software update in an ad-hoc network, it is proposed to prepare a transition from an initial software configuration to a target software configuration in a first step (S10). Before deciding on commitment to the new software configuration, there is executed a step (S12) to evaluate at least one reconfiguration result achieved at a further mobile device in the ad-hoc network. On the basis of this further reconfiguration result it may be decided whether after commitment to the new software configuration consistency of software versions may be maintained within the ad-hoc network. If this is not the case, e.g., due to a failure of software update in one of the mobile devices, a fallback to the initial software configuration is executed.

WO 2004/019582 A1

THIS PAGE BLANK (USPTO)

7/PRTS

10/525390
DT01 Rec'd PCT/PTC 22 FEB 2005

5

*Reconfiguration of a
Group of Network Nodes
in an Ad-hoc Network*

10

FIELD OF INVENTION

The present invention relates to a reconfiguration of a
15 group of network nodes in an ad-hoc network, and in
particular to a reconfiguration of a group of network nodes
in an ad-hoc network with particular emphasis on
reconfiguration consistency.

20

BACKGROUND ART

Numerous factors associated with technology, business,
regulation and social behavior have driven the spreading of
25 wireless ad-hoc networking in the past, i.e., a wireless
network formed without any central administration. Ad-hoc
networks consist of a plurality of mobile devices using a
wireless interface for exchange of packet data. As each
mobile device in the ad-hoc network serves as router and
30 host, each such mobile device will forward data packets on

behalf of other mobile devices and further run user applications. Therefore, in ad-hoc networks, mobile devices are connected directly for local cooperation.

5 To support on-going improvement of functionality, an ad-hoc network should provide the opportunity for a software update. It is often required that all mobile devices in such an ad-hoc network have the same software version, e.g., for reasons of compatibility. For this reason, the
10 software update should take place in a coordinated manner, preferably at the same point in time. In addition, either all mobile devices reconfigure successfully, or they all fall back to the software version before installation.

15 However, up to now no appropriate approach to the coordinated update of software in ad-hoc networks is available. Another issue not addressed so far is that during reconfiguration mobile devices may not communicate properly.

20

SUMMARY OF INVENTION

In view of the above, the object of the present invention
25 is to provide a solution to consistent software reconfiguration in ad-hoc networks.

According to the present invention, this object is achieved through a method of reconfiguration for a network node,
30 e.g., a mobile device or a stationary device, in an ad-hoc network. The method comprises a first step of preparing a

transition from an initial software configuration to a target software configuration and a second step to deciding on commitment to the target software configuration. According to the present invention, the decision on commitment is taken in view of a result of reconfiguration indicated through at least one further network node in the ad-hoc network.

Therefore, according to the present invention, a transition from an initial software configuration to a target software configuration is not executed anyway but such a transition is taken on the basis of information being related to reconfiguration at network nodes being reachable from the network node deciding on commitment to the target software configuration.

In particular, if the information received is related to further reconfiguration processes in the further network nodes, it is possible to allow for coordination of reconfiguration between different network nodes, although each single network node is operating autonomously.

In other words, according to the present invention it is proposed to not simply initiate an update process for different network nodes in an ad-hoc network and to just hope that the reconfiguration will be successful, but to use feedback mechanisms locally in each single network node to decide on commitment to target software configurations.

According to a preferred embodiment of the invention it is proposed to negotiate a maximum reconfiguration time period

between at least two network nodes executing reconfiguration. The maximum reconfiguration time is the maximum time for reconfiguration, indication of reconfiguration result, and executing a fallback to the
5 initial software configuration for network nodes in the ad-hoc network participating in the reconfiguration process.

Further, preferably, a start of reconfiguration is
10 coordinated between at least two network nodes in the ad-hoc network executing a reconfiguration process. Optionally, one network node in the ad-hoc network may organize the negotiation process.

15 The negotiation of a maximum time for reconfiguration and the "synchronization" or coordination of start of reconfiguration are of particular relevance for achieving a simple, but nevertheless highly efficient framework of autonomous reconfiguration in each network node.

20

The negotiation process of a maximum reconfiguration time period is adapted to a heterogeneous update of software within different network nodes, as the maximum time period for reconfiguration will be set according to the target
25 software configuration in the network node requiring the longest time period for reconfiguration.

Yet another advantage is that a time period during which services are interrupted due to reconfiguration at the
30 different network nodes is foreseeable and minimized. If after expiry of the negotiated maximum time period no

definitive reconfiguration success is established in the ad-hoc network, immediately counter-measures may be taken to bring the ad-hoc network back to operation on the basis of the initial software configuration before start of the reconfiguration process.

According to a further preferred embodiment of the invention, it is proposed to determine which network nodes are reachable after the reconfiguration process.

This preferred embodiment of the present invention is provided to control two different reconfiguration scenarios.

A first scenario would be that reconfiguration is not related to communication software - e.g., like speech-codec software - but to some type of application. Therefore, during reconfiguration communication is still possible. In such a case, connectivity from the network node executing the reconfiguration process to further network nodes running the reconfiguration process is not an issue.

A second scenario would be that some type of communication-related software is reconfigured so that it may not be guaranteed that the ad-hoc network topology remains unchanged during the course of reconfiguration. One example would be a communication software update failure at a specific network node which leaves the ad-hoc network as it may no longer communicate with those network nodes which successfully reconfigured communication software. Therefore, excluding this network node from the commitment

decision - after determination of reachability - allows to avoid over-restrictive commitment decisions and a related decrease in functionality improvement.

- 5 Further preferred embodiments of the present invention relate to the decision mechanisms underlying the commitment to a new software configuration in a network node.

10 One option is to commit to the target software when every result of reconfiguration received at the network node is positive. This type of commitment achieves maximum consistency of software versions after reconfiguration as only a fully successful reconfiguration process at different network nodes allows for transfer to the target
15 software configuration in each single network node.

A further option relates to the other extreme case where no information regarding the outcome of reconfiguration at other network nodes is received at all. In this case -
20 after expiry of the maximum reconfiguration time period - it is proposed to execute a fallback to the initial software configuration. The rationale behind this approach is that, initially, communication was possible on the basis of the initial software configuration. Further, the fact
25 that no reconfiguration result was received at the network node deciding on the commitment to the new software configuration is an indication that communication on the basis of the newly installed software is not possible. Therefore, fallback to the initial software configuration
30 is the optimal way to re-establish connectivity in the ad-hoc network.

Yet another option is to execute a fallback to initial software configuration, when at least one negative reconfiguration result was reported to the network node
5 deciding on commitment to the target software configuration. The rationale behind this commitment decision is to maximize connectivity and communication capability. Assuming that only a single mobile network node could leave the ad-hoc network according to the negative
10 reconfiguration result, it is wise to continue the operation on the basis of the initial software configuration to maintain maximum connectivity.

Further preferred embodiments of the present invention
15 relate to the involvement of a network node into the exchange of reconfiguration results.

According to a preferred embodiment of the present invention, each network node either sends a positive or
20 negative indication of reconfiguration, according to the outcome of the transition from the initial software configuration to the target software configuration.

It should be noted that the exchange of reconfiguration
25 results may be achieved in various ways.

A first way would be to indicate the reconfiguration result through dedicated positive or negative signals. Preferably, these signals may be processed, both, by through the
30 initial software configuration and the target software configuration.

A second way would be to indicate a positive reconfiguration, e.g., of communication software, implicitly through automatic set-up of network connectivity.

For both alternatives given above, the exchange of reconfiguration results may be executed repeatedly to improve penetration of reconfiguration results in the ad-hoc network.

A further preferred embodiment of the present invention relates to the determination of network nodes in the ad-hoc network participating in the reconfiguration process.

According to the present invention, it is proposed to use a plurality of criteria for such determination which may be used either alone or in combination.

A first such criterion is communication capability of a network node. Here, it is proposed to have a match between the target software configuration and the related communication capabilities of a network node. In other words, it would be meaningless to install communication software at a network node which is not applicable in view of the hardware support given by the network node. Further, communication capability may also be understood in the sense that only those network nodes are considered during software reconfiguration which support a specific style of communication. A further criterion is network connectivity, which means that network nodes not being reachable in the

ad-hoc network are per se excluded from the reconfiguration process.

Yet another criterion may be profile data for a further
5 detailed specification of the network node or its end user.
Such profile data may classify whether a network node
supports specific types of services which form part of the
reconfiguration process. Using such profile data it is
possible to avoid unnecessary retrieval of software and
10 related data traffic in the ad-hoc network.

Yet another criterion is a movement pattern of a movable
network node, i.e. a mobile device, which may either be
predicted or be known per se. Assuming that - in view of
15 the movement pattern - a mobile device will soon leave the
ad-hoc network, it is highly meaningful to avoid
unnecessary data traffic to such a mobile device for
reconfiguration with respect to services being of
importance only within the established ad-hoc network.

20 Yet another criterion that might be used as basis for
determining network nodes participating in the
reconfiguration in an ad-hoc network is a status of a
network node, typically a hardware status. One such example
25 would be the energy that is available within a network
node, which is of particular relevance for mobile devices.
Here, when the amount of energy is not sufficient to
support a complete reconfiguration process, it is
reasonable to exclude the network node from the
30 reconfiguration process to avoid any disturbance of
reconfiguration for the remaining network nodes in the ad-

hoc network. Another example of a hardware status may be the type of standard being supported for circuit-switched or packet-switched communication through the network node or processing power provided through processor devices in the network node. The reconfiguration of services only
5 makes sense when a network node offers a certain processing power required for certain services.

Yet another example of a criterion to determine which
10 network nodes should be reconfigured could be a group membership of a network node, which group membership may be specified in advance. This criterion is of particular value for supporting different user groups or closed user groups, e.g., in police departments or hospitals. Another grouping
15 may be according to companies, membership to specific organizations, profession of end user, etc.

Yet another criterion for determination of network nodes participating in a reconfiguration could be a priority
20 assigned to the end user of a network node. This criterion facilitates the ranking of end users, which is of benefit for providers of commercial services aiming at user selectivity.

25 It should be noted that the determination of network nodes as outlined above could either be executed before start of reconfiguration or be repeated during the reconfiguration process. The latter option allows to identify additional network nodes dropping out of the reconfiguration process,
30 and therefore to minimize traffic in the ad-hoc network and to accelerate the reconfiguration process.

Another preferred embodiment of the present invention relates to retrieval of software for executing the transition from the initial software configuration to the target software configuration.

According to a preferred embodiment, software may be retrieved locally from a portable electronic device, e.g., a smart card or any other type of portable device that allows transfer of the related data.

Yet another option is to retrieve software via a mobile communication environment of any type, e.g., from the Internet via a personal area network, a wireless local area network, a wireless infrared communication network, Bluetooth communication, or any other suitable type of mobile communication environment.

Further preferred embodiments of the present invention relate to the type of software which is installed for reconfiguration.

As should be understood from the above, the present invention is not restricted to any type of software. The present invention may be used to reconfigure application software, communication software, operating system software, firmware, etc.

Further, the inventive reconfiguration process is not only related to software as such, but also to related control parameters. Therefore, one might imagine a situation where

the software as such remains unchanged and only the parameter controlling the operation of the software are reconfigured in the sense outlined above.

5 According to the present invention, also heterogeneous software reconfiguration using network node specific software for transition to the target software configuration is fully supported. Therefore, the present invention is perfectly suited to support software
10 reconfiguration with utmost flexibility and user specific adaptation.

According to another preferred embodiment of the present invention there is provided a computer program product
15 directly loadable into the internal memory of a network node of an ad-hoc network comprising software code portions for performing the inventive reconfiguration process when the product is run on a processor of the network node.

20 Therefore, the present invention is also provided to achieve an implementation of the inventive method steps on computer or processor systems. In conclusion, such implementation leads to the provision of computer program products for use with a computer system or more
25 specifically a processor comprised in, e.g., a mobile device like a mobile telephone, a laptop computer, or a PDA.

These programs defining the functions of the present
30 invention can be delivered to a computer/processor in many forms, including, but not limited to information

permanently stored on non-writable storage media, e.g.,
read only memory devices such as ROM or CD ROM discs
readable by processors or computer I/O attachments;
information stored on writable storage media, i.e. floppy
5 discs and harddrives; or information convey to a
computer/processor through communication media such as
network and/or Internet and/or telephone networks via
modems or other interface devices. It should be understood
that such media, when carrying processor readable
10 instructions implementing the inventive concept represent
alternate embodiments of the present invention.

BRIEF DESCRIPTION OF DRAWING

15 The best mode of carrying out the invention as well as
preferred embodiments and examples will be explained with
reference to the drawing, in which:

20 Fig. 1 shows a network topology of an ad-hoc
network to which the reconfiguration process
according to the present invention is
applicable;

25 Fig. 2 shows a schematic diagram of a network node
according to the present invention;

Fig. 3 shows a flowchart of operation for the
network node shown in Fig. 2;

30 Fig. 4 shows a schematic diagram of the software

reconfiguration unit shown in Fig. 2;

Fig. 5 shows a flowchart of operation for different sub-units in the software reconfiguration unit shown in Fig. 4;

Fig. 6 shows a flowchart of operation for the reconfiguration commitment unit shown in Fig. 2;

Fig. 7 shows a first example of the reconfiguration process according to the present invention; and

Fig. 8 shows a second example of the reconfiguration process according to the present invention.

DESCRIPTION OF BEST MODE AND PREFERRED EMBODIMENTS

In the following, the best mode of carrying out the present invention and preferred embodiments thereof will be explained with respect to the drawing. Throughout the specification, those parts being identical will be referred to using the same reference numerals.

Fig. 1 shows a network topology of an ad-hoc network to which the reconfiguration process according to the present invention is applicable. As outlined above, an ad-hoc network is a network formed without any central

administration and consisting of network nodes, e.g., mobile devices or stationary devices, that use wireless interfaces to exchange circuit- or packet-switched data. It should be understood that there is not imposed any restriction either on the type of network node nor on the type of communication in the ad-hoc network according to the present invention.

Examples of network nodes are mobile devices like mobile telephones, PDAs, mp3-players, laptop computers, etc. or stationary devices like personal computers. Further, the type of ad-hoc networking may be suitably selected, e.g., on the basis of Bluetooth, HiperLAN/2, personal area network PAN, IEEE 802.11, WLL Multi-hop Access Systems, according to the MANET initiative taken by the Internet Engineering Task Force IETF.

The same also applies when the ad-hoc network is combined with a mobile cellular communication environment being operated on the basis of, e.g., GSM, PDC, GPRS, UMTS, IMT2000, PHS, IS-95, or any hybrid combination thereof.

Still further, it should be noted that the reconfiguration process explained in the following is suitable for any type of software, such as application software, communication software, firmware, operation system software, and/or related control parameters.

Typical examples - without any binding effect on the present invention - would be mobile Internet application, mobile multi-media application, personalized service

application, global mobility support, or any other type of video, still image, audio data, text data, or speech-based application supporting software.

5 Further, communication-related software may be related, e.g., to speech coding, source coding, channel coding, radio control according to any standard like WCDMA, TDMA, FDMA, etc. Further, communication software may be related to any ad-hoc network routing protocol, e.g., a distance
10 vector routing protocol DSVD, an ad-hoc on-demand distance vector routing protocol AODV, and/or a dynamic source routing protocol. Still further, examples of firmware could be a software which is necessary to run a signal processing unit, e.g., a DSP, which is usually available within a
15 mobile device.

Fig. 2 shows a schematic diagram of a network node according to the present invention.

20 As shown in Fig. 2, the network node 10 comprises a software reconfiguration unit 12 and a reconfiguration commitment unit 14. Further, for exchange of data in the ad-hoc network there is provided a communication unit 16, and a memory unit 18 allows the storage of any data
25 involved with the operation of the network node 10.

Fig. 3 shows a flowchart of operation for the network node 10 shown in Fig. 2. Operatively, in a step S10, the transition from an initial software configuration to a
30 target software configuration is prepared, and in a step S12 there is taken a decision to commit to the new software

configuration in view of a related reconfiguration result indicated through at least one further network node of the ad-hoc network.

5 Generally, the reconfiguration process is therefore split into two phases, a first one to prepare reconfiguration, and a second one to evaluate the reconfiguration result, to decide on commitment in the new software configuration, and finally to actually commit to the new software or to fall
10 back to the initial software configuration. In the following, further aspects underlying this basis concept of a two-phase reconfiguration process will be discussed in more detail.

15 Therefore, according to the present invention there is proposed a network node and method of reconfiguration thereof that ensure consistent software update. This means that all network nodes have the same consistent software version .

20

20 Within the general framework for a reconfiguration process as outlined above with respect to Figs. 2 and 3, the present invention may be varied in a plurality of ways, as will be outlined in the following.

25

According to the present invention it is also proposed to determine network nodes in the ad-hoc network executing the reconfiguration at the start of reconfiguration. This is achieved on the basis of at least one criterion:

30 communication capability of a network node; node connectivity; profile data of network node; movement

pattern for a mobile network node, i.e. mobile device;
hardware status of network node; priority of network node;
and/or group membership of network node.

- 5 Communication capability may be related to any specific
type of communication - i.e., circuit-switched or packet-
switched. Here, one might consider an application scenario
where software being related to packet-switched
communication is exchanged only, so that circuit-switched
10 functionality remains unaffected. Therefore, network nodes
supporting circuit-switched communication only are not
affected by the reconfiguration.

- In addition, network connectivity in the sense of the
15 present invention implies that only network nodes being
integrated into the ad-hoc network should be considered for
reconfiguration. Optionally, profile data of network nodes
is integrated into the decision of whether a network node
will be reconfigured or not, which profile data may
20 describe the type of applications, type of communication
services, user preferences, etc.

- Still further, the movement pattern as criterion for
reconfiguration allows to drop out those mobile network
25 nodes from the reconfiguration process which are in the
process of leaving the ad-hoc network anyway. Therefore, an
update of such a mobile network node with respect to
specific services in the current ad-hoc network becomes
obsolete and should be avoided.

Still further, hardware status of the network node is related both to a dynamic hardware status or a static hardware status. A first example for a dynamic hardware status is the energy being available within the network

5 node, e.g., the load state of a mobile device battery. Only if enough energy is available within the network node, the reconfiguration of such a network node is meaningful.

Further, only if enough memory for a specific type of application software is available within the network node -

10 as example for a static hardware status - the network node should be reconfigured accordingly.

Still further, additional criteria for determination of reconfiguration are priority of a network node and group

15 membership. Here, priority assignment to a network node allows to exclude specific network nodes from reconfiguration and to have end users receiving privileged services. Group membership allows to set up different groups of network nodes and related end users forming ad-

20 hoc networks and to support organizational aspects.

0 A further aspect of preparation of a transition from an initial software configuration to a target software configuration is related retrieval of software.

25 One option supported by the present invention is to download software to one network node being reachable in the ad-hoc network, and then to distribute the software to each network node participating in the reconfiguration.

Remote retrieval of software - e.g., from the Internet - may be achieved via any type of mobile communication environment, e.g., a mobile communication network, a wireless local area network, a personal area network, wireless infrared communication, and Bluetooth communication. Mobile communication may be executed according to any type of standard, i.e., IMT2000, GSM, PDC, PHS, IS-95.

10 According to the present invention, the reconfiguration supports also heterogeneous software update for different network nodes or, in other words, software reconfiguration may be achieved in a network node specific way. A further option would be to distribute software reconfiguration to
15 at least two network nodes before subsequent distribution within the ad-hoc network.

Yet another option for retrieving of software for reconfiguration would be to use a portable electronic
20 device IC/USIM in a local manner, such that the software necessary for reconfiguration is locally retrieved at each network node. A modification of this approach would be that the portable electronic device is not only carrying software for a specific network node, but for a plurality
25 of network nodes, and that the network node accommodating the portable electronic device is used for distribution of the software to further network nodes.

Besides software retrieval via a mobile communication
30 environment or a portable electronic device, a further option would be that software necessary for reconfiguration

is already pre-installed at a network node and therefore is only to be activated to achieve reconfiguration.

While above for selection of network nodes criteria and software retrieval mechanisms have explained as important aspects of the first step S10 to prepare a transition from an initial software configuration to a target software configuration, in the following, important aspects of the decision on commitment to a new software configuration according to step S12 shown in Fig. 3 will be explained.

The present invention relies on the understanding that the reconfiguration to the target software as such is not enough to provide consistency of functionality of network nodes after reconfiguration. Therefore, in addition to the step of software update as first phase of reconfiguration there is provided a second phase for evaluation of the reconfiguration result(s). The evaluation is a prerequisite to decide on commitment to the target software configuration, and for actual transfer to the new software configuration or establishment of a fallback process in view of the decision result.

In other words, only if a successful reconfiguration is notified within a negotiable maximum time for reconfiguration indication of reconfiguration result, and executing a fallback to the initial software configuration - through different network nodes participating in the reconfiguration process, there will be achieved commitment to target software configuration(s). Otherwise, there will be initiated a fallback to the starting point of

reconfiguration to re-establish communication also when the reconfiguration was not successful. This fallback mechanism allows to maintain operability of the different network nodes in the latter case.

5

Further, the achievement of a reconfiguration process over different network nodes without central control is achieved through exchange of indication of reconfiguration results between network nodes in the ad-hoc network as will be explained in more detail in the following.

10

When communication is interrupted during preparation of transition to the target software configuration a first option is to await the installation of the target software configuration and to then use the new communication software for configuration result indication. Alternatively - i.e., when reconfiguration is not successful - a fallback to the initial software configuration could be executed after the time period reserved for reconfiguration, and then the previous initial software configuration and related communication software may be used for reconfiguration result indication.

15

20

From the viewpoint of mobile device operating autonomously, any mismatch between the communication software after reconfiguration will be an indication to non-successful reconfiguration. To the contrary, a suitable exchange of data also after reconfiguration may be considered as implicit indication of successful reconfiguration without exchange of related dedicated signaling messages.

25

30

Further communication may also continue during the reconfiguration process, typically when no communication software is involved in the reconfiguration process. Here, the indication of reconfiguration results is easier and may
5 be executed immediately after termination of the preparation phase at each network node. Therefore, at the end of the time period being available for configuration preparation, the decision of commitment to the new software configuration and/or fallback to the initial software
10 configuration may be taken without any delay.

Optionally, the decision may be taken already before end of the maximum reconfiguration time period when positive reconfiguration indications are received from all involved
15 network nodes before end of the maximum reconfiguration time period.

According to yet another case, a network node may not receive any indication of a reconfiguration result at all,
20 irrespective of whether communication is interrupted during the reconfiguration process or continuously available. In that case, where a network node is completely "blind", the appropriate way is to execute fallback to initial software configuration of the network node before continuation of
25 operation for this network node.

While above general aspects of the present invention have been explained with respect to Figs. 2 and 3, further details thereof will be explained with reference to Figs. 4
30 to 6, respectively.

Fig. 4 shows a schematic diagram of the software reconfiguration unit shown in Fig. 2.

As shown in Fig. 4, the software reconfiguration unit 12
5 comprises an ad-hoc network determination unit 20, a software retrieval unit 22, a negotiation unit 24, a coordination unit 26, and a timer unit 28, respectively.

Fig. 5 shows a flowchart of operation of the different sub-
10 units of the software reconfiguration unit 12 as shown in Fig. 4. The steps shown in Fig. 5 are basically related to the time period reserved for preparing the transition from the initial software configuration to the target software configuration.

15

As shown in Fig. 5, at the beginning of this time period, the ad-hoc network determination unit 20 may determine network nodes in the ad-hoc network which execute a reconfiguration process in step S20 as outlined above.

20

Then, in a step S22 the software retrieval unit will retrieve software according to the target software configuration as outlined above, and optionally also retrieve related software control parameters, e.g., parameters controlling the operation system of a network

25

node.

In a step S24, the negotiation unit may negotiate a maximum reconfiguration time period for the network nodes participating in the reconfiguration process. It should be
30 noted that the maximum reconfiguration time period covers the time period provided for preparation of a transition to

the target software state and, optionally a time period for evaluation of reconfiguration results, deciding on commitment on the target software configuration and executing the commitment or a fallback to the initial software configuration according to the determination result.

Then, in a step S26, the coordination unit 28 may coordinate start of reconfiguration, when several network nodes execute reconfiguration such that different network nodes execute reconfiguration over the same time period or, in other words, in a coordinated and/or synchronized way. This is supported through provision of a timer unit 28 which operatively starts a reconfiguration timer to measure the actual reconfiguration time against the negotiated maximum reconfiguration time in step S28.

As shown in Fig. 5, a further step S30 - executed by the software retrieval and transition unit 22 - achieves execution of transition to the new software configuration. Step S30 divides into the sub-steps installation of new software, indication of success of installation, and forwarding of received reconfiguration results. It should be noted that this transition is achieved autonomously in each single network node and that the coordination is achieved through the reception and forwarding of reconfiguration results from further network node.

While each network node is operating autonomously, it is the continuous reception and forwarding of reconfiguration-related information that allows to distribute

reconfiguration status information in the ad-hoc network, which then may again be processed autonomously in each mobile device under the ad-hoc networking paradigm.

5 As shown in Fig. 5, the ad-hoc determination unit 20 may again be activated after reconfiguration to execute step S32 for determination of mobile devices which are reachable from a reconfigured network node after installation of new software and transition to the target software
10 configuration. This step S32 is particularly useful when network nodes are mobile and roam during the reconfiguration process, so that the ad-hoc network topology changes.

15 Fig. 6 shows a flowchart of operation for the reconfiguration commitment unit 14 shown in Fig. 2. The operation outlined in this flowchart is related to the operation after the first phase to prepare the transition to the target software configuration or, in other words,
20 after expiration of the timer provided in each network node to compare the actual reconfiguration time with the negotiated maximum negotiation time.

As shown in Fig. 6, after expiration of the time period
25 reserved for preparing the transition to the new software configuration, the reconfiguration commitment unit 14 will evaluate whether a reconfiguration result was received at all in a step S40. If this is not the case, the reconfiguration commitment unit 14 will decide on fallback
30 to initial software configuration in a step S42.

Otherwise, the reconfiguration commitment unit 14 will determine whether at least one negative indication for a reconfiguration was generated during the reconfiguration. If this is true, the reconfirmation commitment will decide on fallback to initial software configuration according to step S42. Otherwise, a decision to commit to the target software configuration will be taken in a step S46.

The logic behind the decision mechanism shown in Fig. 6 is that the interrogation whether no reconfiguration was received in step S40 corresponds to a situation where a network node can no longer form part of the ad-hoc network, e.g., due to roaming and moving away of a mobile network node from the area of the ad-hoc network. Here, a commitment to a target software configuration which might only be suitable for network nodes in the ad-hoc network would no longer make sense.

Further, the reason to decide on fallback when at least one negative indication of reconfiguration is indicated is to guarantee interoperability and consistency also after reconfiguration.

However, in an alternative manner and depending on the number of network nodes participating in the reconfiguration and further the type of reconfiguration, one could also consider a modification of the scheme shown in Fig. 6.

E.g., a statistical evaluation of the indicated reconfiguration results using thresholds for acceptable

negative reconfiguration level is as well covered by the present invention.

It should also be noted that the ad-hoc network may split into several groups, each of which will have a common state of software version, but not necessarily the same. E.g., in a first group, a network node may have to fall back, and therefore the complete group will execute a fallback to the initial software configuration. To the contrary, the other group may achieve successful reconfiguration for all related network nodes.

Fig. 7 shows a first example of the application of the reconfiguration process according to the present invention.

15

For the example shown in Fig. 7 it is assumed that network nodes are mobile devices participating in the reconfiguration process. The mobile devices are not roaming during reconfiguration. Further, it is assumed that the battery of the mobile device T7 is too low, and that therefore this mobile device will not participate in the reconfiguration process.

As shown in Fig. 7, mobile device T1 distributes the software according to the target software configuration for each of the mobile devices T2 to T6. Then, the reconfiguration for all mobile devices takes place, except for mobile device T7.

At the end of the time period t reserved for reconfiguration, the result of reconfiguration is as shown

in the lower part of Fig. 7. While mobile devices T3, T1, T4 to T6 execute the reconfiguration process successfully, a failure occurs at mobile device T2 subsequent to successful reconfiguration of the other mobile devices T1, T3 to T6. The failure is indicated to mobile devices T1, T3 to T6 using failure signaling, e.g., a failure signal or message. At point of time t_0+t , all participating mobile devices T1 to T6 will decide on fallback to the initial software version due to failure of the reconfiguration process at mobile devices T2. Alternatively, the same decision may be taken already at receipt of the failure indication from mobile device T2.

Fig. 8 shows a second example of the application of the reconfiguration process according to the present invention. For the example shown in Fig. 8 it is assumed that different network nodes are mobile devices that may roam during the reconfiguration process.

As shown in Fig. 8, the reconfiguration process for mobile devices T2, T3, and T6 is executed successfully, while the reconfiguration process at mobile device T4 fails. In addition, shortly before end of the time period reserved for preparing the transition to the target software configuration, connectivity between mobile devices T2 and T4 is lost, splitting the initial ad-hoc network T1 to T6 into partial ad-hoc networks, T1, T2, T5, and T3, T4, and T6.

The reconfiguration process is successful for the first partial ad-hoc network T1, T2, T5, and therefore the first

partial ad-hoc network will commit to the target software configuration. To the contrary, the second partial ad-hoc network will execute a fallback to the initial software configuration due to failure of reconfiguration process in
5 mobile device T4.

While in Figs. 7 and 8 examples have been shown where communication is possible during the first time period of the reconfiguration for decision on commitment to the new
10 software, an alternative would be that communication is fully interrupted during the reconfiguration process. In the latter case, the reconfiguration result indication related exchange of information would be postponed until expiry of the time period t reserved for software
15 reconfiguration.

While above the best mode of carrying out the present invention as well as further preferred embodiment thereof has been explained with respect to the drawing, it should
20 be emphasized that all related features explained with reference to the drawing may as well be combined differently to achieve additional variations and modifications of the present invention.

CLAIMS

5

1. Method of reconfiguration for a network node in an ad-hoc network, comprising the steps:

10

preparing a transition from an initial software configuration to a target software configuration;

deciding on commitment to the target software configuration in view of a result of reconfiguration indicated through at least one further network node in the ad-hoc network.

15

2. Method according to claim 1, *characterized in that* it further comprises a step of negotiating a maximum reconfiguration time period with at least one further network node before executing the transition from the initial software configuration to the target software configuration.

20

O

25

3. Method according to claim 2, *characterized in that* the maximum reconfiguration time period is the maximum time for reconfiguration, indication of reconfiguration result, and executing a fallback to the initial software configuration for network nodes in the ad-hoc network participating in the reconfiguration process.

30

4. Method according to one of the claims 1 to 3,
characterized in that it further comprises a step of
coordinating a start of reconfiguration at the network
5 node with a start of reconfiguration in at least one
further network node.
5. Method according to one of the claims 2 to 4,
characterized in that it further comprises a step of
10 starting a timer in the network node for measurement
of actual reconfiguration time versus maximum
reconfiguration time period.
6. Method according to one of the claims 1 to 5,
15 *characterized in that* it further comprises a step of
determining network nodes being reachable from the
reconfigured network node when ad-hoc network
communication is interrupted during the transition
from the initial software configuration to the target
20 software configuration.
7. Method according to one of the claims 1 to 6,
characterized in that the step of committing to the
target software configuration is taken when every
25 result of reconfiguration received at the network node
from a reachable further network node is evaluated to
be positive.
8. Method according to one of the claims 1 to 6,
30 *characterized in that* it further comprises a step of
falling back to the initial software configuration

when at least one result of reconfiguration received at the network node from a reachable further network node is evaluated to be negative.

- 5 9. Method according to one of the claims 1 to 6,
characterized in that it comprises a step of falling
back to the initial software configuration when no
result of reconfiguration result is received at the
network node until expiry of the maximum
10 reconfiguration time period.
10. Method according to one of the claims 1 to 9,
characterized in that it further comprises a step of
15 sending a positive reconfiguration result when the
transition from the initial software configuration to
the target software configuration is successful.
11. Method according to claim 10, *characterized in that*
20 the positive reconfiguration result is sent as
positive signal or indicated through automatic set-up
of network connectivity.
12. Method according to claim 10 or 11, *characterized in*
25 *that* the positive reconfiguration result is sent
repeatedly.
13. Method according to one of the claims 1 to 9,
characterized in that it further comprises a step of
30 sending a negative reconfiguration result when the
transition from the initial software configuration to
the target software configuration is not successful.

14. Method according to claim 13, *characterized in that* the negative reconfiguration result is sent as fallback signal.

5

15. Method according to claim 13 or 14, *characterized in that* the negative reconfiguration result is sent repeatedly.

10 16. Method according to one of the claims 13 to 15, *characterized in that* it further comprises a step of forwarding results of reconfiguration received from further network nodes to the ad-hoc network.

15 17. Method according to one of the claims 1 to 16, *characterized in that* it further comprises a step of determining network nodes in the ad-hoc network executing reconfiguration.

20 18. Method according to claim 17, *characterized in that* the step of determining network nodes in the ad-hoc network executing reconfiguration is based on at least one criteria selected from a group comprising:

- 25
- communication capability of network node;
 - network connectivity;
 - profile data of network node;
 - movement pattern of network node;
 - hardware status of network node;

30

 - priority of network node; and
 - group membership of network node.

19. Method according to claim 17 or 18, *characterized in that* the step of determining network nodes in the ad-hoc network executing reconfiguration is executed
5 before start of reconfiguration.

20. Method according to one of the claims 17 to 19,
characterized in that the step of determining network nodes in the ad-hoc network executing reconfiguration
10 is repeated during reconfiguration.

21. Method according to one of the claims 1 to 20,
characterized in that it further comprises a step of
retrieving software for executing the transition from
15 the initial software configuration to the target
software configuration locally from a portable
electronic device (IC/USIM).

22. Method according to one of the claims 1 to 21,
20 *characterized in that* it further comprises a step of
retrieving software for executing the transition from
the initial software configuration to the target
software configuration remotely via a mobile
communication environment.

23. Method according to claim 22, *characterized in that* it
25 further comprises a step of selecting the mobile
communication environment from a group comprising a
mobile communication network, wireless local area
30 network, personal area network, wireless infrared

communication network (IrDA), Bluetooth communication network.

24. Method according to claim 22, *characterized in that* it further comprises a step of selecting the mobile communication network from a group comprising GSM, PDC, IMT 2000, PHS, IS-95.
25. Method according to one of the claims 21 to 24, *characterized in that* it further comprises a step of pre-installing software for executing the transition from the initial software configuration to the target software configuration in the network node.
26. Method according to one of the claims 21 to 25, *characterized in that* it further comprises a step of selecting software for executing the transition from the initial software configuration to the target software configuration from a group comprising application software, communication software, operating system software, firmware.
27. Method according to claim 26, *characterized in that* it further comprises a step of retrieving software for executing the transition from the initial software configuration to the target software configuration in combination with related control parameters.
28. Method according to one of the claims 1 to 27, *characterized in that* software for executing the transition from the initial software configuration to

the target software configuration is network node specific.

29. Method according to one of the claims 1 to 28,
5 characterized in that the network node is a mobile device or a stationary device.

30. Network node for operation in an ad-hoc network,
comprising:

10 a software reconfiguration unit adapted to prepare a transition from an initial software configuration to a target software configuration;

15 a reconfiguration commitment unit adapted to decide on commitment to the target software configuration in view of a result of reconfiguration indicated through at least one further network node in the ad-hoc network.

20 31. Network node according to claim 30, *characterized in that* it further comprises a negotiating unit adapted to negotiate a maximum reconfiguration time period with the at least one further network node before
25 executing the transition from the initial software configuration to the target software configuration.

30 32. Network node according to claim 31, *characterized in that* the negotiation unit is adapted to negotiate the maximum reconfiguration time period as the maximum time for reconfiguration, indication of

reconfiguration result, and executing a fallback to the initial software configuration for network nodes in the ad-hoc network participating in the reconfiguration process.

5

33. Network node according to one of the claims 30 to 32, *characterized in that* it further comprises a reconfiguration coordination unit adapted to coordinate a start of reconfiguration at the network node with a start of reconfiguration in the at least one further network node.

10

34. Network node according to one of the claims 31 or 33, *characterized in that* it further comprises a timer unit adapted to measure an actual reconfiguration time versus the maximum reconfiguration time period.

15

35. Network node according to one of the claims 30 to 34, *characterized in that* it further comprises a connectivity unit adapted to determine network nodes being reachable from the reconfigured network node when ad-hoc network communication is interrupted during the transition from the initial software configuration to the target software configuration.

20
25

36. Network node according to one of the claims 30 to 34, *characterized in that* the reconfiguration commitment unit is adapted to commit to the target software configuration when every result of reconfiguration received at the network node from a reachable further network node is evaluated to be positive.

30

37. Network node according to one of the claims 30 to 34,
characterized in that the reconfiguration commitment
unit is adapted to decide on falling back to the
initial software configuration when at least one
result of reconfiguration received at the network node
from a reachable further network node is evaluated to
be negative.

38. Network node according to one of the claims 30 to 34,
characterized in that the reconfiguration commitment
unit is adapted to decide on falling back to the
initial software configuration when no result of
reconfiguration result is received at the network node
until expiry of the maximum reconfiguration time
period.

39. Network node according to one of the claims 30 to 38,
characterized in that it further comprises a
communication unit adapted to send a positive
reconfiguration result when the transition from the
initial software configuration to the target software
configuration is successful.

40. Network node according to claim 39, *characterized in*
that the communication unit is adapted to send the
positive reconfiguration result as positive signal or
adapted to indicate the positive reconfiguration
result through automatic set-up of network
connectivity.

41. Network node according to claim 39 or 40,
characterized in that the communication unit is
adapted to send the positive reconfiguration result
repeatedly.

5

42. Network node according to one of the claims 30 to 38,
characterized in that it further comprises a
communication unit adapted to send a negative
reconfiguration result when the transition from the
initial software configuration to the target software
configuration is not successful.

10

43. Network node according to claim 42, *characterized in
that* communication unit is adapted to send the
negative reconfiguration result as fallback signal.

15

44. Network node according to claim 42 or 43,
characterized in that communication unit is adapted to
send the negative reconfiguration result repeatedly.

20

45. Network node according to one of the claims 39 to 44,
characterized in that the communication unit is
further adapted to forward results of reconfiguration
received from further network nodes to the ad-hoc
network.

25

46. Network node according to one of the claims 30 to 45,
characterized in that it further comprises a
determination unit adapted to determine network nodes
in the ad-hoc network executing reconfiguration.

30

47. Network node according to claim 46, *characterized in that* the determination unit is adapted to determine network nodes in the ad-hoc network executing reconfiguration based on at least one criteria
5 selected from a group comprising:

- communication capability of network node;
- network connectivity;
- profile data of network node;
- 10 - movement pattern of network node;
- hardware status of network node;
- priority of network node; and
- group membership of network node.

15 48. Network node according to claim 46 or 47, *characterized in that* the determination unit is adapted to determine network nodes in the ad-hoc network executing reconfiguration before start of reconfiguration.

20 49. Network node according to one of the claims 46 to 48, *characterized in that* the determination unit is adapted to determine network nodes in the ad-hoc network executing reconfiguration repeatedly during
25 reconfiguration.

50. Network node according to one of the claims 30 to 49, *characterized in that* it further comprises a software retrieval unit adapted to retrieve software for
30 executing the transition from the initial software

configuration to the target software configuration locally from a portable electronic device .

51. Network node according to one of the claims 30 to 50,
5 *characterized in that* the software retrieval unit is further adapted to retrieve software for executing the transition from the initial software configuration to the target software configuration remotely via a mobile communication environment.

10 52. Network node according to claim 51, *characterized in that* the software retrieval unit is adapted to select the mobile communication environment from a group comprising a mobile communication network, wireless
15 local area network, personal area network, wireless infrared communication network (IrDA), Bluetooth communication network.

20 53. Network node according to claim 52, *characterized in that* the software retrieval unit is further adapted to select the mobile communication network from a group comprising GSM, PDC, IMT 2000, PHS, IS-95.

25 54. Network node according to one of the claims 49 to 53, *characterized in that* it further comprises a software storage unit adapted to store software for executing the transition from the initial software configuration to the target software configuration in the network
30 node, the software being selected from a group comprising application software, communication software, operating system software, firmware.

55. Network node according to claim 54, *characterized in that* the software storage unit is further adapted to store software for executing the transition from the initial software configuration to the target software configuration in combination with related control parameters.

56. Network node according to one of the claims 30 to 55, *characterized in that* it is a mobile device or a stationary device.

57. A computer program product directly loadable into the internal memory of a network node of an ad-hoc network, comprising software code portions for performing the steps of one of the claims 1 to 29, when the product is run on a processor of the network node.

THIS PAGE BLANK (USPTO)

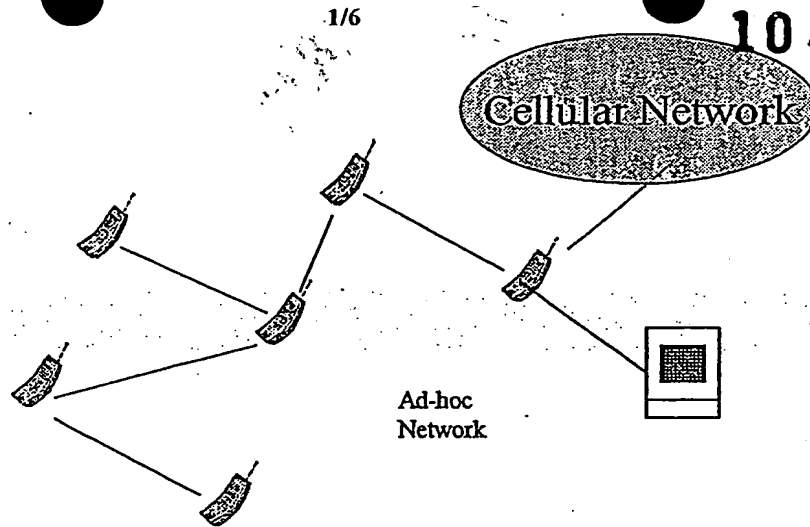


Fig. 1

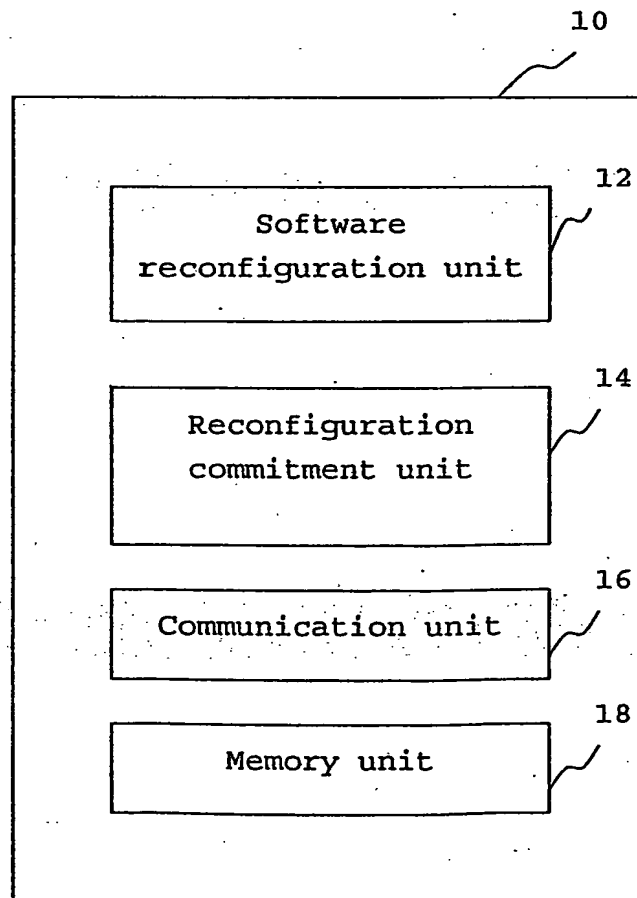


Fig. 2

BT01 1800 P01A/10 22 FEB 2005

THIS PAGE BLANK (USPTO)

10/525390

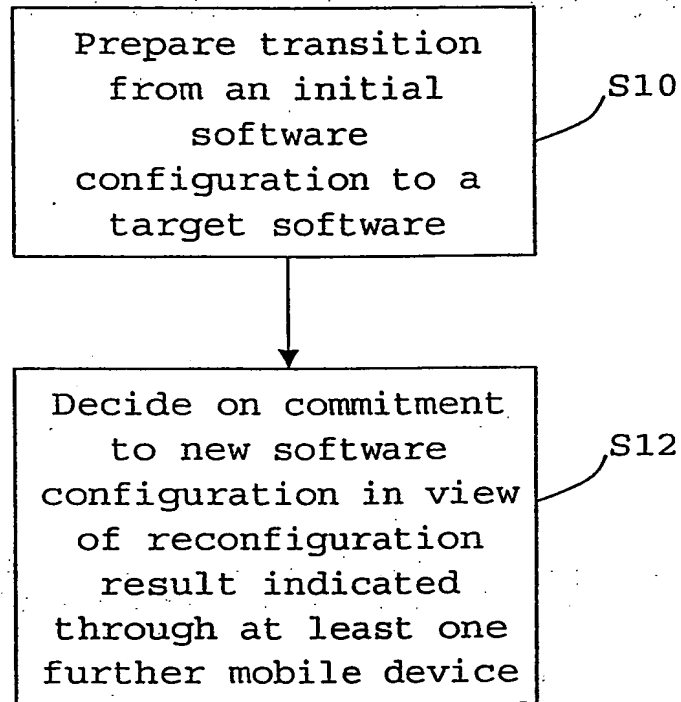


Fig. 3

THIS PAGE BLANK (USPTO)

10/525390

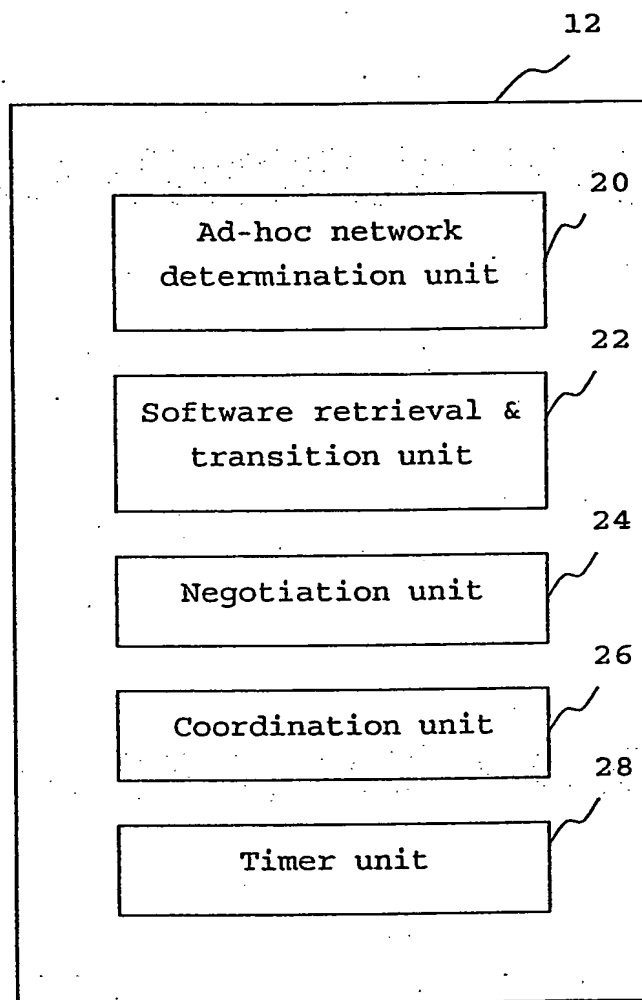


Fig. 4

22 FEB 2003

THIS PAGE BLANK (USPTO)

10/525390

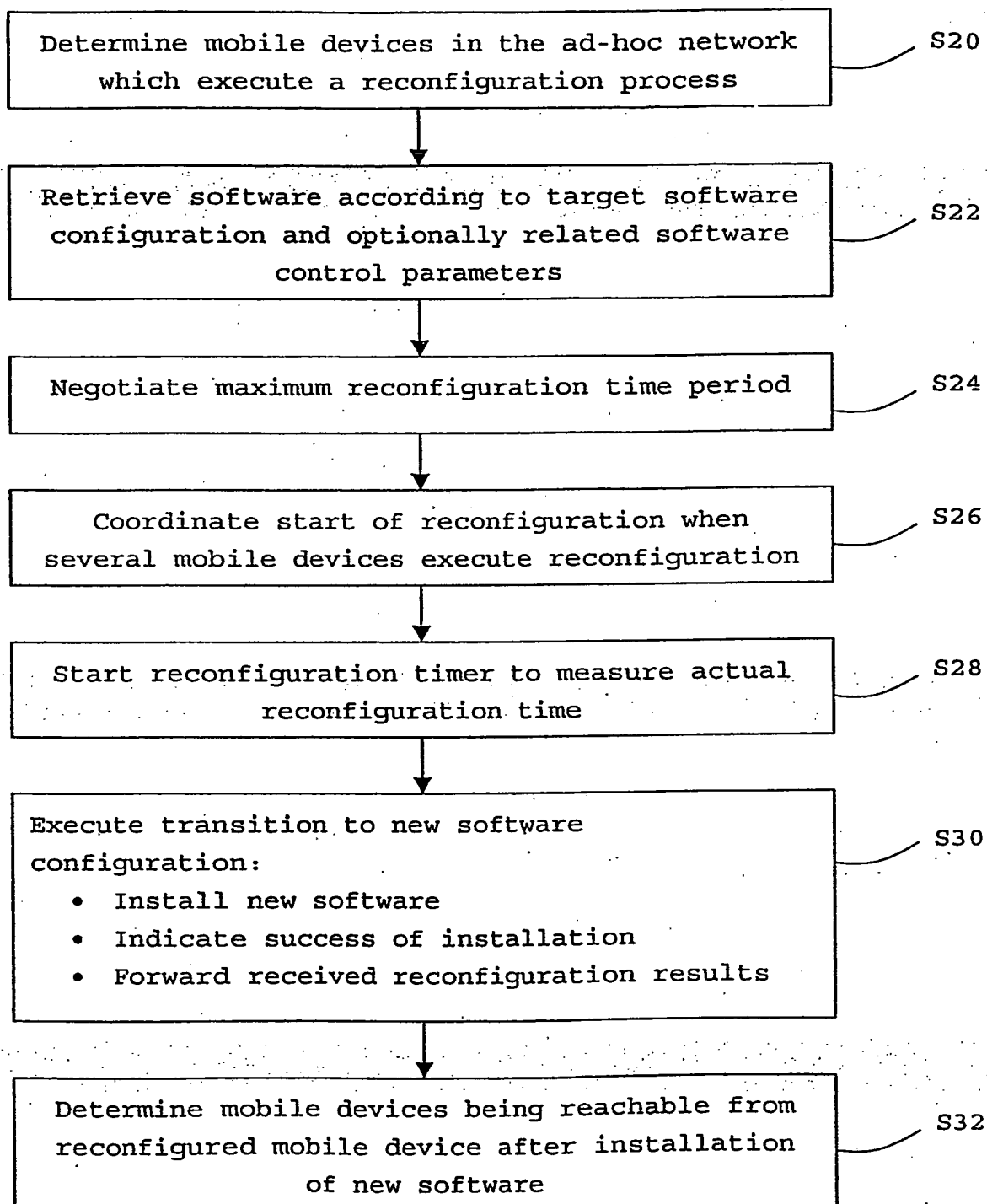


Fig. 5

22 FEB 2003

THIS PAGE BLANK (USPTO)

10/525390

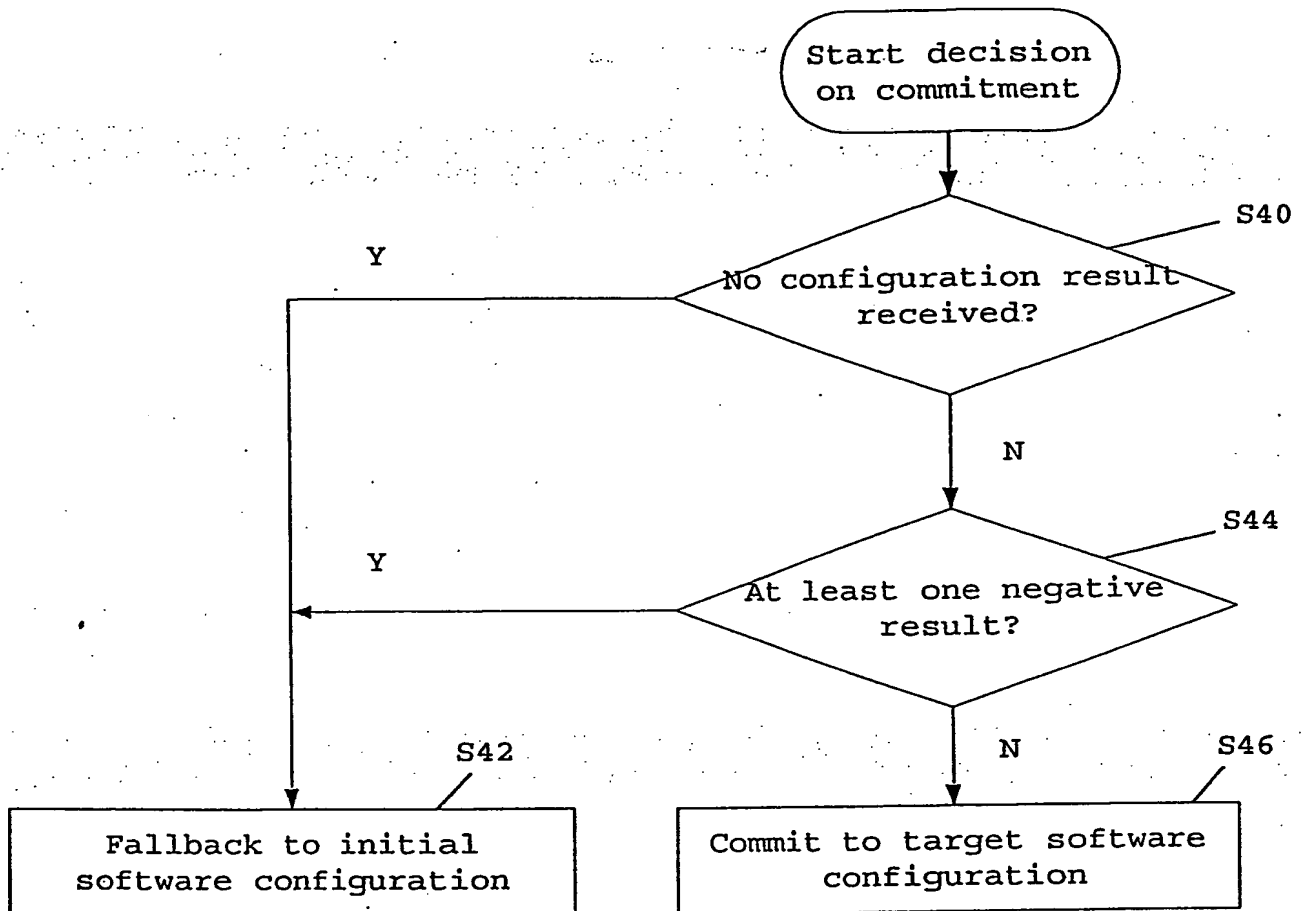
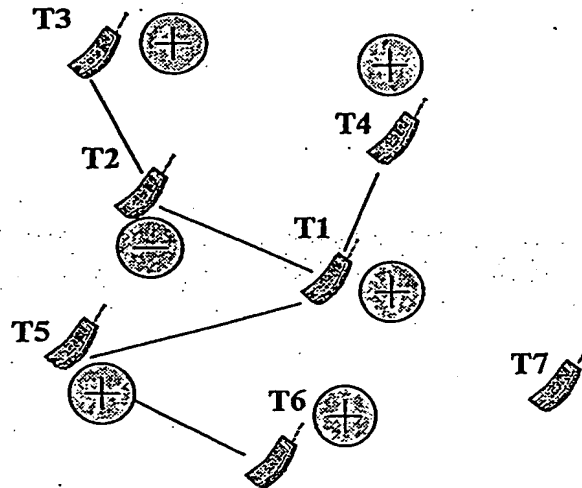


Fig. 6

STG1 REG. FORM 22 FEB 2005

THIS PAGE BLANK (USPTO)

10/525390



Software update failed



Software update was successful

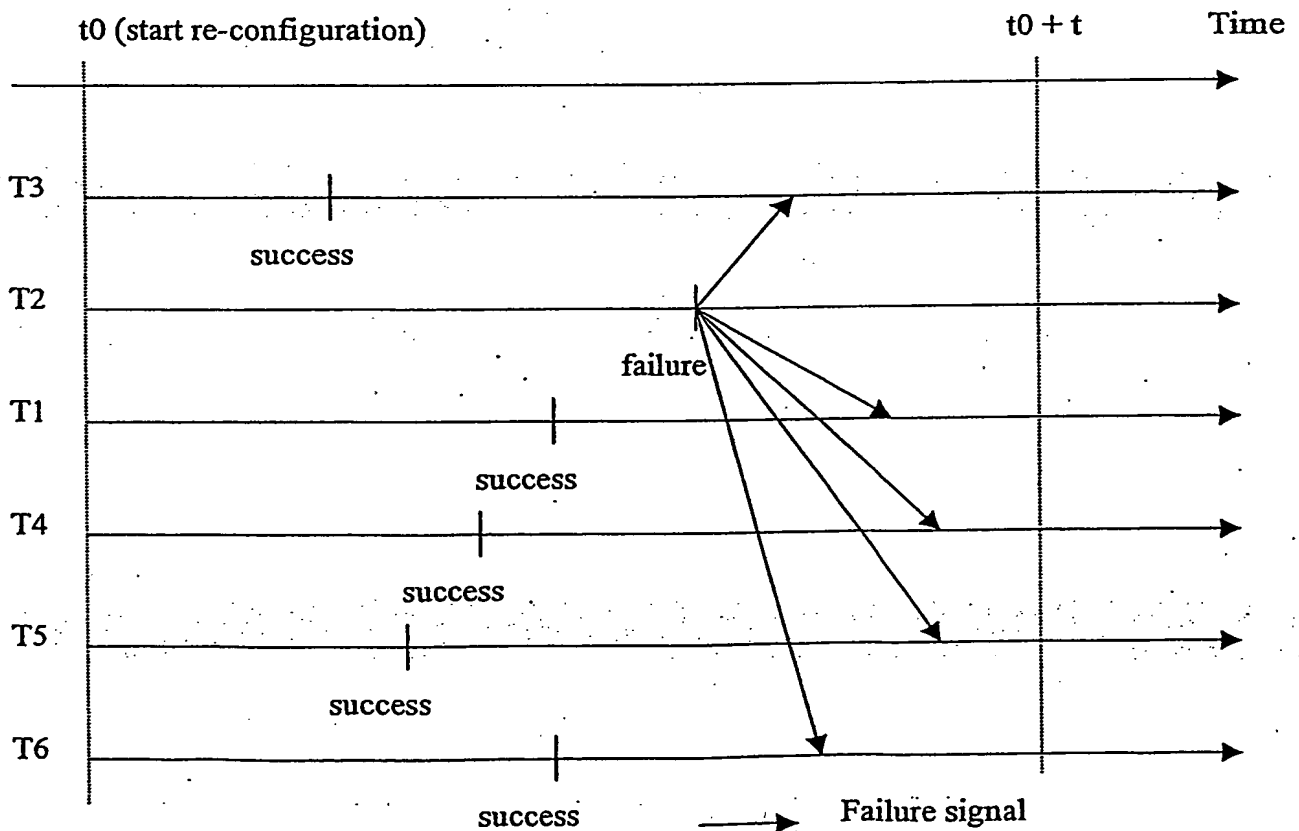


Fig. 7

BT01 Rec'd FCT,PTT 22 FEB 2005

THIS PAGE BLANK (USPTO)

22-02-2005 19:08

VON -HOFFMANN & EITLE

+49-89-918356

T-436 P.002/002 F-419

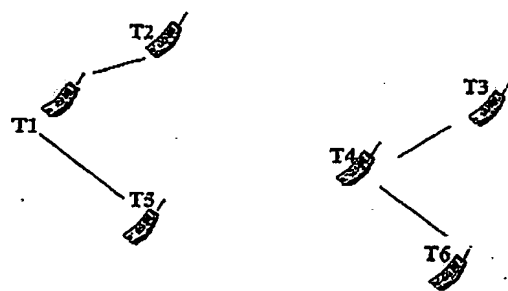
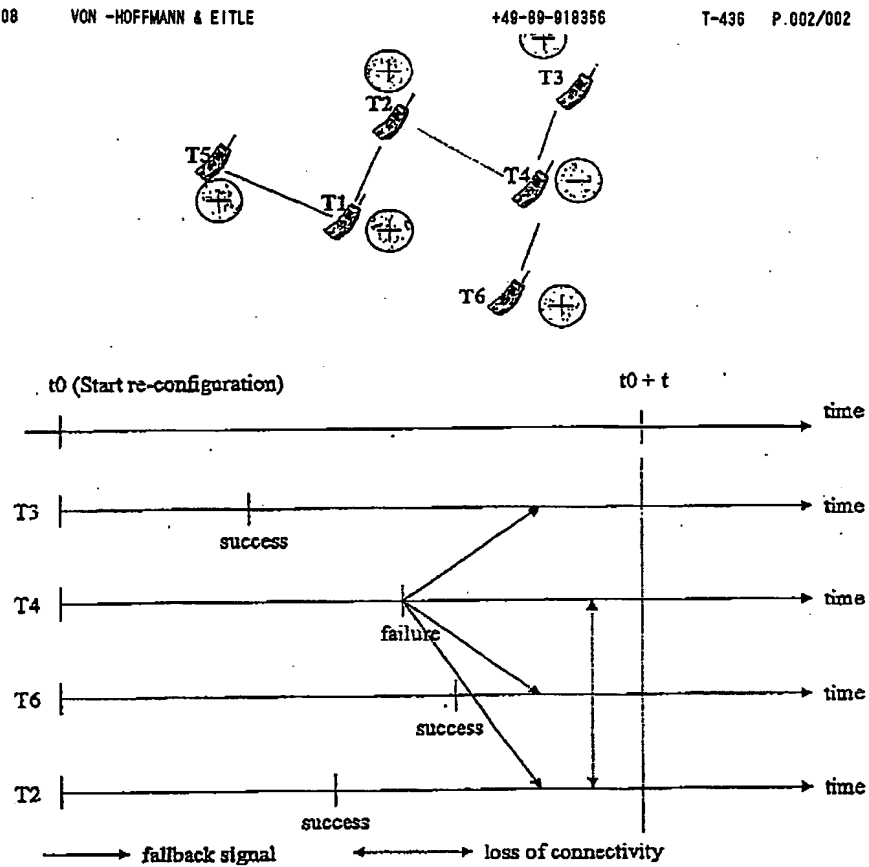


Fig. 8

DT01 Rec'd PCT/STC 22 FEB 2005

THIS PAGE BLANK (USPTO)

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04L29/06

Rec'd PCT/PTC 22 FEB 2005

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L G06F H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 01 14968 A (INVENSYS PLC) 1 March 2001 (2001-03-01) abstract page 13, line 6 -page 15, line 15 page 20, line 21 -page 21, line 11; figures 1-4	1-57
X	US 6 113 652 A (DANENBERG L DAVID ET AL) 5 September 2000 (2000-09-05) column 3, line 19 -column 8, line 5; figures 1-6	1-57
X	US 6 141 683 A (KRAML MARK HEINRICH ET AL) 31 October 2000 (2000-10-31) the whole document	1-57
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance.
- *E* earlier document but published on or after the International filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *G* document member of the same patent family

Date of the actual completion of the international search

8 May 2003

Date of mailing of the international search report

15/05/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3018

Authorized officer

01mos, J

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 699 275 A (KENNEDY III WILLIAM C ET AL) 16 December 1997 (1997-12-16) the whole document ---	1,30,57
A	US 2001/029178 A1 (COWAN PAUL A ET AL) 11 October 2001 (2001-10-11) abstract ---	1,30,57
A	WO 01 84792 A (GREEN ROBERT HUGH ;LANE KEITH MARTIN (GB); LINDSAY WILLIAM ANDREW) 8 November 2001 (2001-11-08) abstract -----	1,30,57

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0114968	A	01-03-2001	AU 5724400 A	19-03-2001
			DE 10084648 T0	16-05-2002
			GB 2363879 A	09-01-2002
			WO 0114968 A1	01-03-2001
US 6113652	A	05-09-2000	US 5754785 A	19-05-1998
US 6141683	A	31-10-2000	NONE	
US 5699275	A	16-12-1997	AU 5440096 A	30-10-1996
			CA 2217856 A1	17-10-1996
			EP 0820614 A1	28-01-1998
			JP 11503545 T	26-03-1999
			WO 9632679 A1	17-10-1996
US 2001029178	A1	11-10-2001	US 6308061 B1	23-10-2001
			US 6031830 A	29-02-2000
			US 5848064 A	08-12-1998
WO 0184792	A	08-11-2001	GB 2362064 A	07-11-2001
			AU 5238001 A	12-11-2001
			EP 1281260 A1	05-02-2003
			WO 0184792 A1	08-11-2001

THIS PAGE BLANK (USPTO)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☒ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)